

ASU Resources

General Fund Appropriations for Higher Education

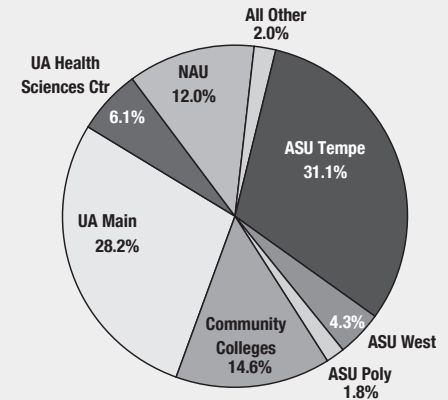
General Fund Appropriations by Institution

	<u>1996-97</u>	<u>2001-02</u>	<u>2006-07</u>
ABOR	\$6,207	\$7,983	\$14,838
UA Main	232,910	287,998	320,798
UA Health Sciences Center	48,543	59,397	69,099
NAU	90,922	118,784	135,949
ASU Total University	\$256,071	\$339,172	\$423,120
ASU Tempe	216,693	285,101	354,043
ASU West	34,354	40,287	49,096
ASU Polytechnic	5,024	13,784	19,981
Community Colleges	111,080	139,864	165,537
Other	1,571	2,011	8,121
Total Higher Education	\$747,304	\$955,209	\$1,137,462

Dollars in thousands.

For more details, see the FY 1997 and FY 2002 *Arizona Appropriations Report* and the FY 2008 General Fund Summary.

2006-07 Higher Education General Fund Appropriations



ASU Resources

Current Operating Fund Revenues

Total Dollars

	<u>State Investment</u>	<u>Tuition and Fees</u> ¹	<u>TRIF</u> ²	<u>Govt. Grants & Contracts</u>	<u>Priv. Gifts & Contracts</u>	<u>Other</u> ³	<u>Total Revenues</u>
2000-01	324,416	176,414	N/A	115,157	43,681	118,318	777,986
2001-02	319,175	196,766	\$4,671	125,576	50,530	121,157	817,875
2002-03	311,836	216,850	23,593	136,001	52,095	116,983	857,358
2003-04	312,594	272,888	17,934	144,014	51,146	146,984	945,560
2004-05	335,874	360,946	22,771	164,536	58,487	148,578	1,091,192
2005-06	368,568	411,307	23,329	183,171	67,414	170,174	1,223,963

Dollars in thousands.

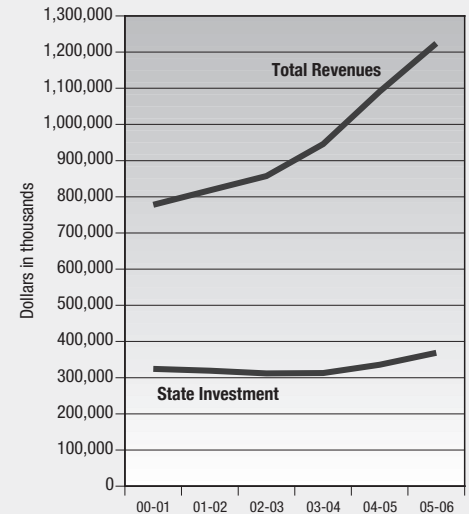
¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301)

³Includes Sales and Services, Investment and Endowment Income, and other sources.

For more details, see the annual ASU Separate Financial Statements.

Five-year Trend



ASU Resources

2005–06 Current Operating Fund Revenues

State Appropriation

	<u>Dollars</u>	<u>Percent</u>
State Investment	\$368,568	61.5%
Tuition & Fees ¹	225,324	37.6%
TRIF ²	3,600	0.6%
Other	2,115	0.4%
Total	\$599,607	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301) appropriated for ASU Polytechnic and West debt service.

Other Fund Revenues

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Tuition & Fees ¹	\$183,601	\$2,382		\$185,983	29.8%
Government Contracts	34,705		\$148,466	183,171	29.3%
Private Gifts & Contracts	12,601	8,084	46,729	67,414	10.8%
TRIF ²			19,729	19,729	3.2%
Investment Income	7,024	6	2,009	9,039	1.4%
Auxiliary Enterprises		109,418		109,418	17.5%
Other	35,791	3,653	10,158	49,602	7.9%
Total	\$273,722	\$123,543	\$227,091	\$624,356	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301)

For more details, see the annual ASU Separate Financial Statements.

ASU Resources

2005–06 Current Operating Fund Expenditures

State Expenditures

	<u>Dollars</u>	<u>Percent</u>
Instruction ¹	\$322,633	54.9%
Research	13,327	2.3%
Public Service	1,403	0.2%
Academic Support	103,227	17.6%
Student Services	31,557	5.4%
Institutional Support ¹	53,086	9.0%
Operations/Maint of Plant	62,161	10.6%
Scholarships ¹	0	0.0%
Total	\$587,394	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

Other Fund Expenditures and Mandatory Transfers

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Instruction ¹	\$49,783		\$15,384	\$65,167	10.6%
Research	8,763		116,686	125,449	20.5%
Public Service	5,828		33,058	38,886	6.4%
Academic Support	39,396		7,597	46,993	7.7%
Student Services	10,627		3,150	13,777	2.3%
Institutional Support ¹	43,109		5,436	48,545	7.9%
Operations/Maint of Plant	3,169		620	3,789	0.6%
Scholarships ¹	81,800		45,160	126,960	20.7%
Auxiliary		\$98,861		98,861	16.1%
Mandatory Transfers	39,119	8,740	(4,057)	43,802	7.2%
Total	\$281,595	\$107,601	\$223,034	\$612,230	100.0%

Dollars in thousands.

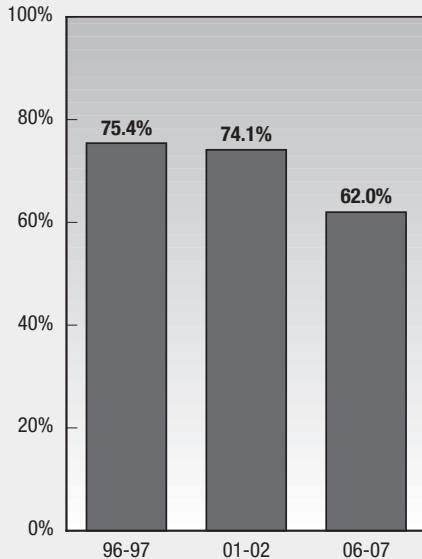
¹Excludes value of student waivers.

For more details, see the annual ASU Separate Financial Statements.

ASU Resources

State Operating Budget

State Investment as a Percentage of State Operating Budget



State Investment

	<u>1996-97</u>	<u>2001-02</u>	<u>2006-07</u>
State Operating Budget (Expenditure Authority)	\$339,533	\$457,678	\$681,986
State Investment	\$256,071	\$339,171	\$423,120
Dollars in thousands			
Fall 21st Day Student FTE	40,910	47,085	59,068
State Investment per FTE Student	\$6,259	\$7,203	\$7,163
Indexed ¹ State Investment per FTE Student	\$6,259	\$6,432	\$5,640

¹Indexed to 1996-97 dollars using CPI estimates.

Expenditure Authority includes State General Fund Appropriations, State Collections, and other miscellaneous revenue.

FTE is the equivalent of full-time students based on the following calculation for Arizona universities:

1 FTE = 15 lower-division SCH, 12 upper-division SCH, or 10 graduate SCH.

ASU Resources

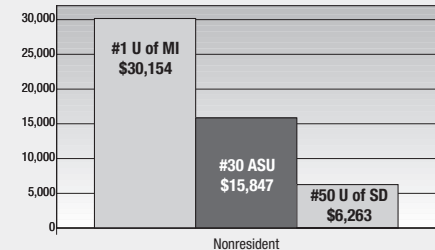
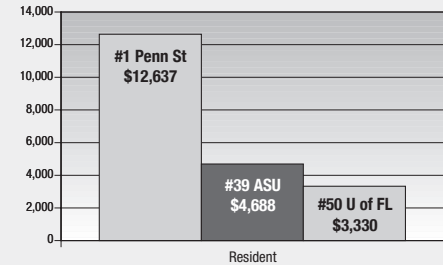
Tuition and Fees

Tuition and Fees

	Full-time Undergraduate		Full-time Graduate	
	Resident	Nonresident ¹	Resident	Nonresident ¹
1996–97	2,009	8,377	2,009	8,377
1997–98	2,059	8,711	2,059	8,711
1998–99	2,159	9,111	2,159	9,111
1999–00	2,261	9,413	2,261	9,413
2000–01	2,346	9,802	2,346	9,802
2001–02	2,488	10,354	2,488	10,354
2002–03	2,585	11,105	2,585	11,105
2003–04	3,595	12,115	3,795	12,315
2004–05	4,064	12,919	5,129	13,649
2005–06	4,406	15,095	5,561	15,825
2006–07	4,688	15,847	6,027	16,613

¹New or readmitted students

2006–07 Tuition Rankings



Based on the Arizona Board of Regents 2006–07 Tuition and Mandatory Fees Survey, a ranked list of 50 state universities. NAU and UA are not included.

ASU Resources

Average Costs for Full-time Undergraduate Students

Estimated Budgets

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Room/Board	\$5,240	\$5,866	\$6,453	\$6,574	\$6,768	\$6,900
Personal	2,992	3,325	3,657	3,726	3,726	3,822
Books/Supplies	700	748	823	838	948	950
Tuition/Fees						
Resident	2,488	2,585	3,595	4,064	4,406	4,688
Nonresident	10,354	11,105	12,115	12,919	15,095	15,847
Resident Total	\$11,420	\$12,524	\$14,528	\$15,202	\$15,848	\$16,360
Nonresident Total¹	\$19,286	\$21,044	\$23,048	\$24,057	\$26,537	\$27,519

Typical student costs are based on students living in university-owned housing.

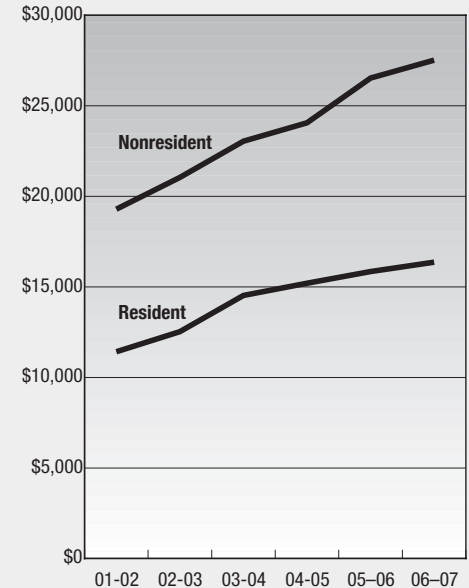
Indexed Budgets²

Resident Total	\$11,420	\$12,278	\$13,969	\$14,076	\$14,150	\$14,351
Nonresident Total	\$19,286	\$20,631	\$22,162	\$22,275	\$23,694	\$24,139

¹New or readmitted students

²Indexed to 2001-02 dollars using CPI estimates

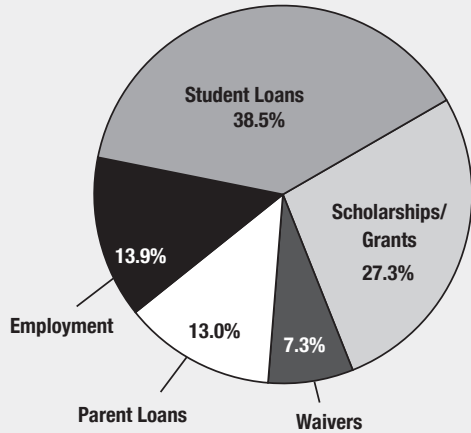
Five-year Trend



ASU Resources

2005–06 Student Financial Aid

All Aid Distribution



Financial Aid by Source

	Undergraduate Students			Graduate/First Professional	Total University
	Showing Need	Not Showing Need	Total		
Scholarships/Grants	\$90,338,941	\$28,749,267	\$119,088,208	\$8,943,900	\$128,032,108
Self-help					
Federal Student Loans	\$104,178,366	\$16,329,581	\$120,507,947	\$60,294,339	\$180,802,286
Employment	\$8,940,810	\$8,130,038	\$17,070,848	\$48,278,395	\$65,349,243
Parent/Private Loans	\$34,458,401	\$21,172,051	\$55,630,452	\$5,143,152	\$60,773,604
Waivers	\$8,594,883	\$17,849,764	\$26,444,647	\$7,648,178	\$34,092,825
Total Financial Aid Awarded	\$246,511,401	\$92,230,701	\$338,742,102	\$130,307,964	\$469,050,066

For more details, see the Arizona Board of Regents' Annual Financial Aid Report.

ASU Resources

Library Expenditures

Expenditures

	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Monographs	\$2,386	\$2,696	\$2,491	\$2,567	\$2,151	\$3,037
Serials	\$6,093	\$5,829	\$6,286	\$6,833	\$6,758	\$7,437
Other	\$688	\$530	\$557	\$1,410	\$967	\$1,000
Total Materials	\$9,167	\$9,055	\$9,335	\$10,811	\$9,876	\$11,474

Dollars in thousands.

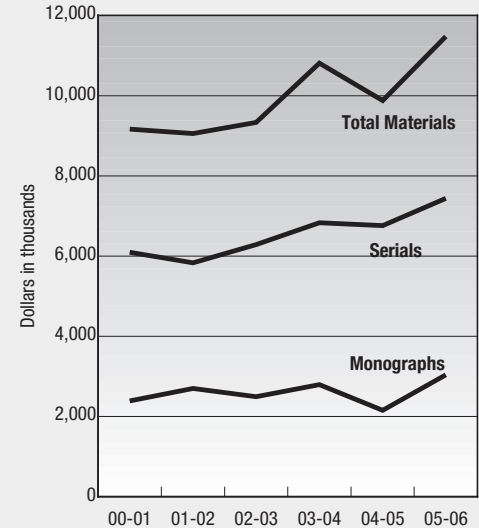
ARL Expenditures Rankings

	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Monographs	#35	#28	#34	#34	#44	N/A
Serials	#24	#32	#34	#32	#39	N/A
Total Materials	#33	#36	#38	#31	#44	N/A

For more details, see the annual ARL Statistics.

ARL = Association of Research Libraries

Five-year Trend



ASU Resources

Library Circulations

Circulations

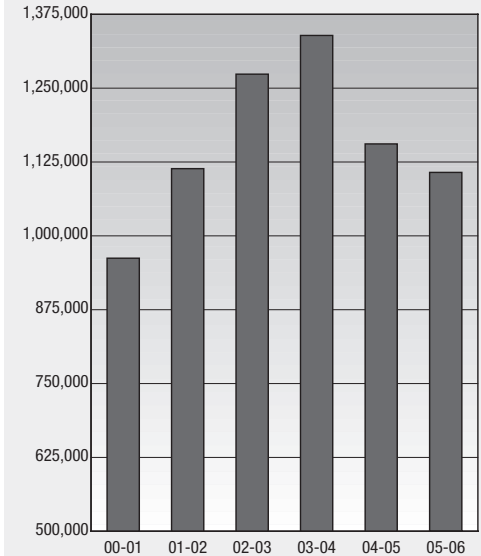
	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Circulations	962,322	1,113,873	1,273,560	1,339,198	1,155,724	1,107,316
Interlibrary loan–borrowing	26,302	29,196	30,296	30,771	27,541	29,649
Interlibrary loan–lending	44,354	48,280	46,193	53,179	59,341	56,361
Online Catalog Use	4,370,400	3,863,428	7,645,384	6,848,907	6,932,867	7,152,800

ARL Circulations Rankings

	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Circulations	#18	#13	#10	#10	#10	N/A
Interlibrary loan–borrowing	#44	#38	#33	#39	#54	N/A
Interlibrary loan–lending	#30	#22	#31	#19	#15	N/A

For more details, see the annual ARL Statistics.
 ARL = Association of Research Libraries

Circulations



ASU Resources

Library Collections

Collections

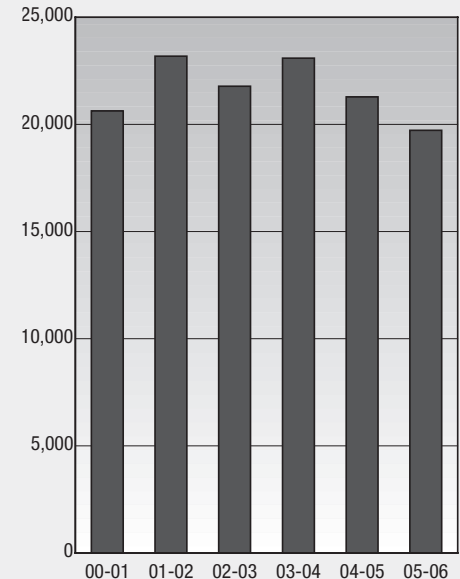
	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Volumes held	3,614,279	3,705,692	3,857,120	4,058,675	4,156,732	4,254,719
Volumes added, net	84,850	90,854	69,885	75,291	65,174	76,475
Current serials purchased	20,624	23,189	21,784	23,095	21,282	19,726

ARL Collections Rankings

	<u>2000-01</u>	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>
Volumes held	#38	#38	#36	#34	#34	N/A
Volumes added, net	#34	#26	#43	#37	#40	N/A
Current serials purchased	#32	#28	#40	#47	#56	N/A

For more details, see the annual ARL Statistics.
ARL = Association of Research Libraries

Serials Purchased



ASU Sponsored Projects

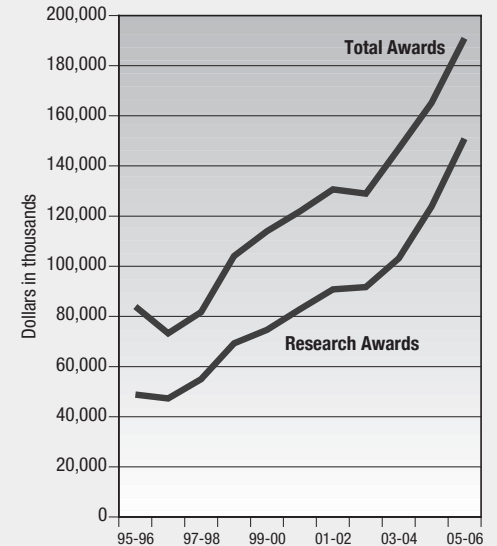
Sponsored Projects Awards

Award Dollars

	<u>Total Awards</u>	<u>Research Awards</u>	<u>Research % of Total</u>
1995–96	\$83,969	\$48,786	58.1%
1996–97	\$73,222	\$47,284	64.6%
1997–98	\$81,737	\$54,940	67.2%
1998–99	\$104,091	\$69,276	66.6%
1999–00	\$113,962	\$74,603	65.5%
2000–01	\$121,896	\$82,858	68.0%
2001–02	\$130,669	\$90,752	69.5%
2002–03	\$128,997	\$91,715	71.1%
2003–04	\$146,988	\$103,153	70.2%
2004–05	\$165,163	\$123,829	75.0%
2005–06	\$190,934	\$150,848	79.0%

Dollars in thousands. Excludes sponsored plant funds.

Ten-year Trend



ASU Sponsored Projects

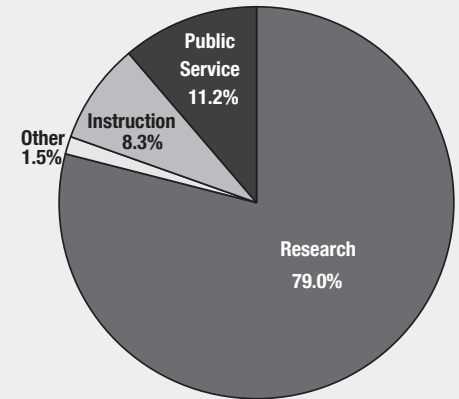
Awards by Purpose

Award Dollars

	<u>1995–96</u>	<u>2000–01</u>	<u>2005–06</u>
Research	\$48,786	\$82,858	\$150,848
Instruction	5,685	7,977	15,895
Public Service	24,483	23,610	21,406
Institutional Support	142	50	140
Scholarship/Fellowship	2,979	5,238	1,704
Student Services	1,870	2,146	741
Academic Support	0	11	190
Operations/Maintenance of Plant	24	5	10
Total	\$83,969	\$121,896	\$190,934

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.

2005–06 Awards



ASU Sponsored Projects

Awards by College

Award Dollars

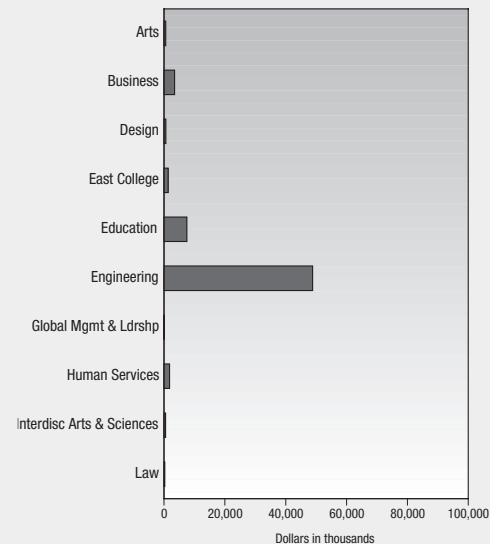
	<u>1995-96</u>	<u>2000-01</u>	<u>2005-06</u>
Arts	\$233	\$187	\$552
Business	790	2,566	3,464
Design	154	279	625
East College	N/A	71	1,353
Education	3,917	10,128	7,506
Engineering	18,334	33,821	48,854
Global Management & Leadership	98	13	60
Human Services	12	271	1,823
Interdisciplinary Arts & Sciences	162	-18	500
Law	257	645	237

Dollars in thousands. Columns may not total, due to rounding.

Excludes sponsored plant funds.

Data continue on next page.

2005-06 Awards



Graph continues on next page.

ASU Sponsored Projects

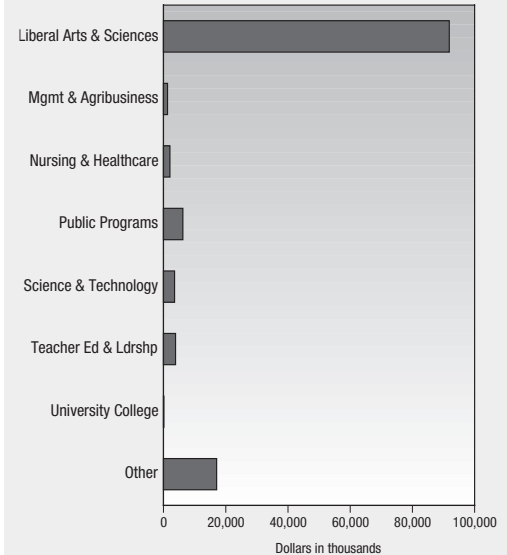
Awards by College (cont.)

Award Dollars

	<u>1995–96</u>	<u>2000–01</u>	<u>2005–06</u>
Liberal Arts & Sciences	\$44,343	\$53,051	\$91,843
Management & Agribusiness	188	863	1,295
Nursing & Healthcare Innovation	875	2,064	2,052
Public Programs	4,502	2,929	6,245
Science & Technology	156	1,807	3,557
Teacher Education & Leadership	344	1,385	3,867
University College	N/A	N/A	98
Other	9,602	11,834	17,005
Total University	\$83,969	\$121,896	\$190,934

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.

2005–06 Awards



ASU Sponsored Projects

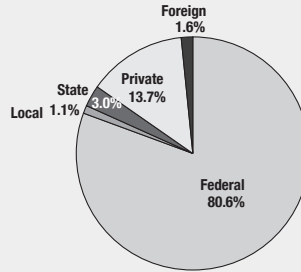
Awards by Sponsor

2005–06 Federal Sponsors

Dept of Agriculture	\$1,827
Dept of Defense	20,784
Dept of Education	15,068
Dept of Energy	4,413
Dept of Health & Human Services	48,265
Dept of the Interior	1,104
Dept of Transportation	405
Environmental Protection Agency	1,855
Nat'l Aeronautics & Space Admin	10,745
Nat'l Endowment for the Arts	90
Nat'l Endowment for the Humanities	261
Nat'l Science Foundation	47,506
Other	1,620
Total	\$153,944

Dollars in thousands.

2005–06 Distribution by Sponsor



2005–06 Federal Awards

Research	\$127,403
Public Service	11,258
Instruction	13,072
Scholarship/Fellowship	1,387
Student Services	723
Academic Support	92
Other	10
Total	\$153,944

Dollars in thousands.

Award Dollars

	<u>1995–96</u>	<u>2000–01</u>	<u>2005–06</u>
Federal	\$69,311	\$91,625	\$153,944
State	4,152	8,822	5,656
Local	359	1,403	2,103
Private	9,831	19,694	26,185
Foreign	315	353	3,046
Total	\$83,969	\$121,896	\$190,934

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.