

ASU Resources

General Fund Appropriations for Higher Education

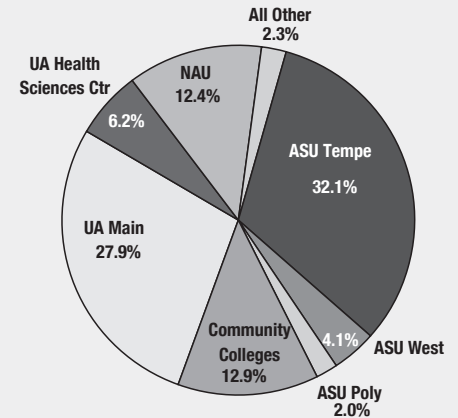
General Fund Appropriations by Institution

	<u>1997–98</u>	<u>2002–03</u>	<u>2007–08</u>
ABOR	\$10,560	\$7,683	\$20,598
UA Main	244,588	278,657	362,389
UA Health Sciences Center	51,101	55,763	80,954
NAU	97,296	117,189	160,869
ASU Total University	\$277,364	\$329,896	\$496,285
ASU Tempe	234,629	278,575	416,765
ASU West	35,741	38,190	53,604
ASU Polytechnic	6,994	13,131	25,916
Community Colleges	120,758	134,285	167,745
Other	2,021	1,829	8,927
Total Higher Education	\$803,688	\$925,303	\$1,297,767

Dollars in thousands.

For more details, see the FY 1998 and FY 2003 *Arizona Appropriations Report* and the FY 2009 General Fund Summary.

2007–08 Higher Education General Fund Appropriations



ASU Resources

Current Operating Fund Revenues

Total Dollars

	<u>State Investment</u>	<u>Tuition and Fees</u> ¹	<u>TRIF</u> ²	<u>Govt. Grants & Contracts</u>	<u>Priv. Gifts & Contracts</u>	<u>Other</u> ³	<u>Total Revenues</u>
2001-02	\$319,175	\$196,766	\$4,671	\$125,576	\$50,530	\$121,157	\$817,875
2002-03	311,836	216,850	23,593	136,001	52,095	116,983	857,358
2003-04	312,594	272,888	17,934	144,014	51,146	146,984	945,560
2004-05	335,874	360,946	22,771	164,536	58,487	148,578	1,091,192
2005-06	368,568	411,307	23,329	183,171	67,414	170,174	1,223,963
2006-07	423,120	466,672	31,184	180,993	74,525	218,354	1,394,848

Dollars in thousands.

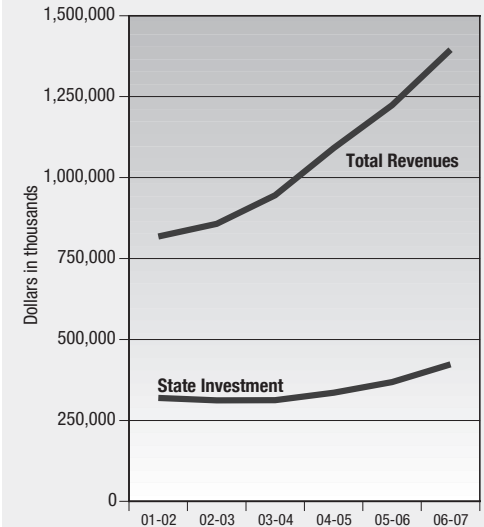
¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301)

³Includes Sales and Services, Investment and Endowment Income, and other sources.

For more details, see the annual ASU Separate Financial Statements.

Five-year Trend



ASU Resources

2006–07 Current Operating Fund Revenues

State Appropriation

	<u>Dollars</u>	<u>Percent</u>
State Investment	\$423,120	62.9%
Tuition & Fees ¹	243,985	36.2%
TRIF ²	3,600	0.5%
Other	2,391	0.4%
Total	\$673,096	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301) appropriated for ASU Polytechnic and West debt service.

Other Fund Revenues

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Tuition & Fees ¹	\$220,396	\$2,291		\$222,687	30.9%
Government Contracts	34,413		\$146,580	180,993	25.1%
Private Gifts & Contracts	9,272	9,524	55,729	74,525	10.3%
TRIF ²			27,584	27,584	3.8%
Investment Income	11,043	823	3,101	14,967	2.1%
Auxiliary Enterprises		122,161		122,161	16.9%
Other	63,462	1,631	13,742	78,835	10.9%
Total	\$338,586	\$136,430	\$246,736	\$721,752	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

²Technology & Research Initiatives Funding (Prop. 301)

For more details, see the annual ASU Separate Financial Statements.

ASU Resources

2006–07 Current Operating Fund Expenditures

State Expenditures

	<u>Dollars</u>	<u>Percent</u>
Instruction ¹	\$364,620	55.6%
Research	14,614	2.2%
Public Service	2,974	0.5%
Academic Support	109,934	16.8%
Student Services	36,644	5.6%
Institutional Support ¹	56,443	8.6%
Operations/Maint of Plant	70,502	10.8%
Scholarships ¹	0	0.0%
Total	\$655,732	100.0%

Dollars in thousands.

¹Excludes value of student waivers.

Other Fund Expenditures and Mandatory Transfers

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Instruction ¹	\$53,350		\$12,280	\$65,630	9.5%
Research	5,953		133,781	139,734	20.3%
Public Service	5,444		34,887	40,331	5.9%
Academic Support	48,468		7,248	55,716	8.1%
Student Services	10,138		3,215	13,353	1.9%
Institutional Support ¹	56,587		5,302	61,889	9.0%
Operations/Maint of Plant	11,797		145	11,942	1.7%
Scholarships ¹	90,171		49,896	140,067	20.3%
Auxiliary		\$115,549		115,549	16.8%
Mandatory Transfers	38,941	9,024	(2,985)	44,980	6.5%
Total	\$320,849	\$124,573	\$243,769	\$689,191	100.0%

Dollars in thousands.

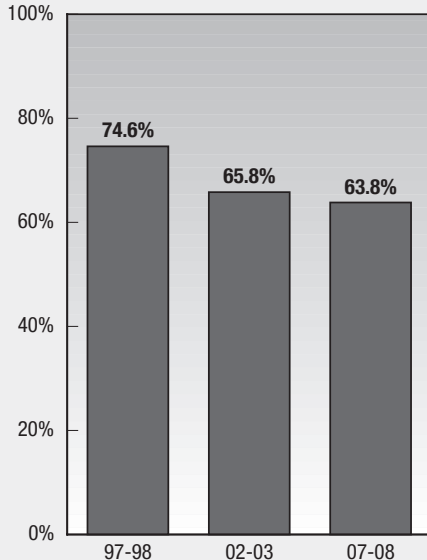
¹Excludes value of student waivers.

For more details, see the annual ASU Separate Financial Statements.

ASU Resources

State Operating Budget

State Investment as a Percentage of State Operating Budget



State Investment

	<u>1997-98</u>	<u>2002-03</u>	<u>2007-08</u>
State Operating Budget (Expenditure Authority)	\$374,288	\$473,589	\$777,905
State Investment	\$279,145	\$311,836	\$496,285
Dollars in thousands			
Fall 21st Day Student FTE	43,105	49,980	60,543
State Investment per FTE Student	\$6,476	\$6,239	\$8,197
Indexed ¹ State Investment per FTE Student	\$6,476	\$5,521	\$7,128

¹Indexed to 1997-98 dollars using CPI estimates.

Expenditure Authority includes State General Fund Appropriations, State Collections, and other miscellaneous revenue.

FTE is the equivalent of full-time students based on the following calculation for Arizona universities:

1 FTE = 15 lower-division SCH, 12 upper-division SCH, or 10 graduate SCH.

ASU Resources

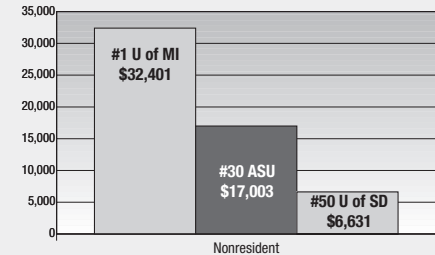
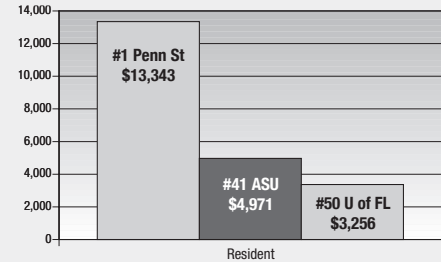
Tuition and Fees

Tuition and Fees

	Full-time Undergraduate		Full-time Graduate	
	Resident	Nonresident ¹	Resident	Nonresident ¹
1997–98	2,059	8,711	2,059	8,711
1998–99	2,159	9,111	2,159	9,111
1999–00	2,261	9,413	2,261	9,413
2000–01	2,346	9,802	2,346	9,802
2001–02	2,488	10,354	2,488	10,354
2002–03	2,585	11,105	2,585	11,105
2003–04	3,595	12,115	3,795	12,315
2004–05	4,064	12,919	5,129	13,649
2005–06	4,406	15,095	5,561	15,825
2006–07	4,688	15,847	6,027	16,613
2007–08	4,971	17,003	6,377	18,070

¹New or readmitted students

2007–08 Tuition Rankings



Based on the Arizona Board of Regents 2007–08 Tuition and Mandatory Fees Survey, a ranked list of 50 state universities. NAU and UA are not included.

ASU Resources

Average Costs for Full-time Undergraduate Students

Estimated Budgets

	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Room/Board	\$5,866	\$6,453	\$6,574	\$6,768	\$6,900	\$7,320
Personal	3,325	3,657	3,726	3,726	3,822	3,830
Books/Supplies	748	823	838	948	950	950
Tuition/Fees						
Resident	2,585	3,595	4,064	4,406	4,688	4,971
Nonresident	11,105	12,115	12,919	15,095	15,847	17,003
Resident Total	\$12,524	\$14,528	\$15,202	\$15,848	\$16,360	\$17,071
Nonresident Total¹	\$21,044	\$23,048	\$24,057	\$26,537	\$27,519	\$29,103

Typical student costs are based on students living in university-owned housing.

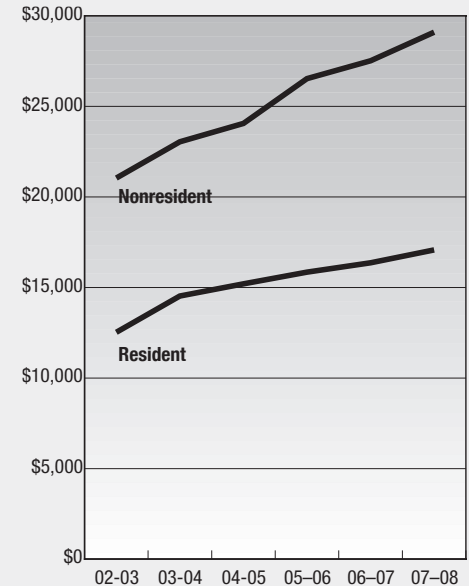
Indexed Budgets²

Resident Total	\$12,524	\$14,243	\$14,478	\$14,539	\$14,607	\$14,844
Nonresident Total	\$21,044	\$22,596	\$22,911	\$24,346	\$24,571	\$25,307

¹New or readmitted students

²Indexed to 2002-03 dollars using CPI estimates

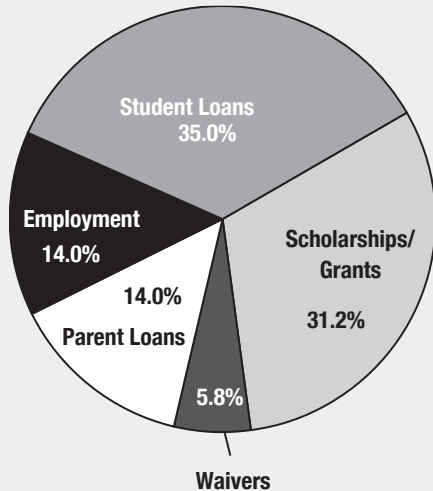
Five-year Trend



ASU Resources

2006–07 Student Financial Aid

All Aid Distribution



Financial Aid by Source

	Undergraduate Students			Graduate/First Professional	Total University
	Showing Need	Not Showing Need	Total		
Scholarships/Grants	\$96,553,483	\$41,065,133	\$137,618,616	\$13,846,505	\$151,465,121
Self-help					
Federal Student Loans	\$92,773,595	\$16,597,837	\$109,371,432	\$60,804,228	\$170,175,660
Employment	\$9,063,425	\$8,528,040	\$17,591,465	\$50,605,726	\$68,197,191
Parent/Private Loans	\$38,958,692	\$23,036,030	\$61,994,722	\$6,027,587	\$68,022,309
Waivers	\$6,930,335	\$15,914,597	\$22,844,932	\$5,315,802	\$28,160,734
Total Financial Aid Awarded	\$244,279,530	\$105,141,637	\$349,421,167	\$136,599,848	\$486,021,015

For more details, see the Arizona Board of Regents' Annual Financial Aid Report.

ASU Resources

Library Expenditures

Expenditures

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Monographs	\$2,696	\$2,491	\$2,567	\$2,151	\$3,037	\$2,859
Serials	\$5,829	\$6,286	\$6,833	\$6,758	\$7,437	\$8,050
Other	\$530	\$557	\$1,410	\$967	\$1,000	\$1,284
Total Materials	\$9,055	\$9,335	\$10,811	\$9,876	\$11,474	\$12,192

Dollars in thousands.

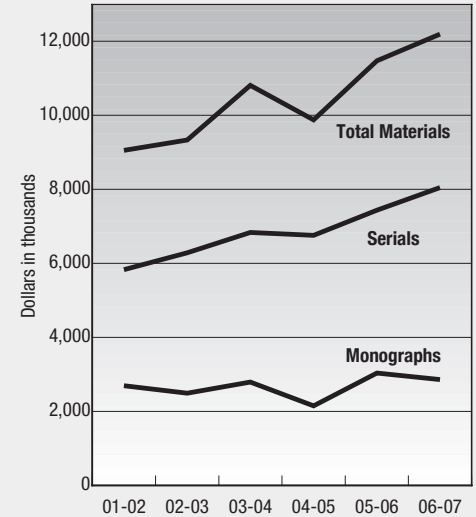
ARL Expenditures Rankings

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Monographs	#28	#34	#34	#44	#27	N/A
Serials	#32	#34	#32	#39	#34	N/A
Total Materials	#36	#38	#31	#44	#37	N/A

For more details, see the annual ARL Statistics.

ARL = Association of Research Libraries

Five-year Trend



ASU Resources

Library Circulations

Circulations

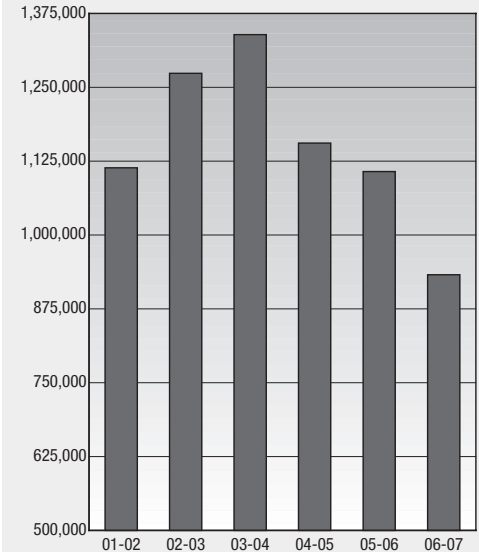
	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Circulations	1,113,873	1,273,560	1,339,198	1,155,724	1,107,316	932,684
Interlibrary loan–borrowing	29,196	30,296	30,771	27,541	29,649	37,784
Interlibrary loan–lending	48,280	46,193	53,179	59,341	56,361	49,386
Online Catalog Use	3,863,428	7,645,384	6,848,907	6,932,867	7,152,800	7,726,944

ARL Circulations Rankings

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Circulations	#13	#10	#10	#10	#10	N/A
Interlibrary loan–borrowing	#38	#33	#39	#54	#47	N/A
Interlibrary loan–lending	#22	#31	#19	#15	#28	N/A

For more details, see the annual ARL Statistics.
 ARL = Association of Research Libraries

Circulations



ASU Resources

Library Collections

Collections

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Volumes held	3,705,692	3,857,120	4,058,675	4,156,732	4,254,719	4,342,425
Volumes added, net	90,854	69,885	75,291	65,174	76,475	83,215
Current serials purchased	23,189	21,784	23,095	21,282	19,726	65,586 ¹

ARL Collections Rankings

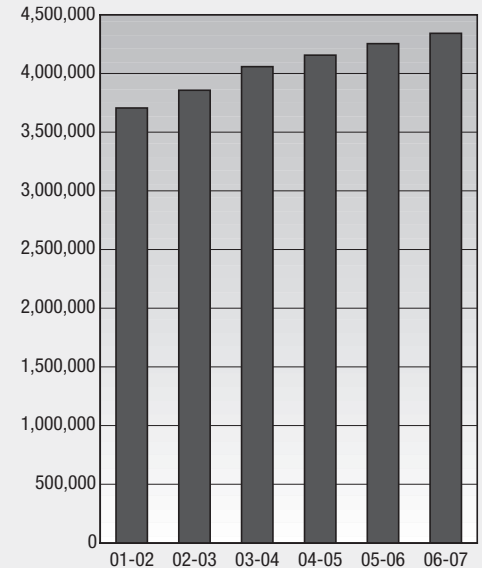
	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>
Volumes held	#38	#36	#34	#34	#33	N/A
Volumes added, net	#26	#43	#37	#40	#33	N/A
Current serials purchased	#28	#40	#47	#56	#67	N/A

¹Spike in serials purchased due to change in ARL calculation methodology.

For more details, see the annual ARL Statistics.

ARL = Association of Research Libraries

Volumes Held



ASU Sponsored Projects

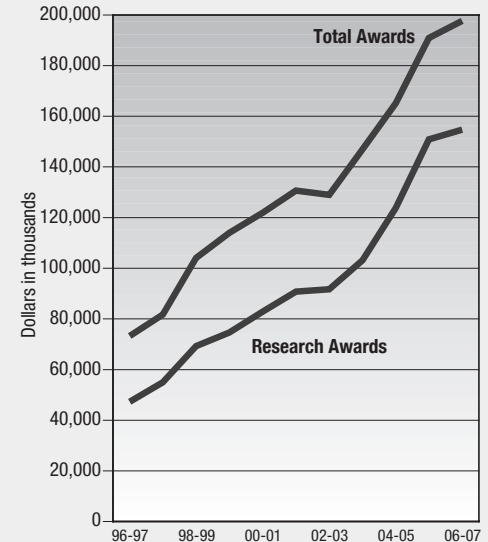
Sponsored Projects Awards

Award Dollars

	<u>Total Awards</u>	<u>Research Awards</u>	<u>Research % of Total</u>
1996–97	\$73,222	\$47,284	64.6%
1997–98	\$81,737	\$54,940	67.2%
1998–99	\$104,091	\$69,276	66.6%
1999–00	\$113,962	\$74,603	65.5%
2000–01	\$121,896	\$82,858	68.0%
2001–02	\$130,669	\$90,752	69.5%
2002–03	\$128,997	\$91,715	71.1%
2003–04	\$146,988	\$103,153	70.2%
2004–05	\$165,163	\$123,829	75.0%
2005–06	\$190,885	\$150,842	79.0%
2006–07	\$197,708	\$154,719	78.3%

Dollars in thousands. Excludes sponsored plant funds.

Ten-year Trend



ASU Sponsored Projects

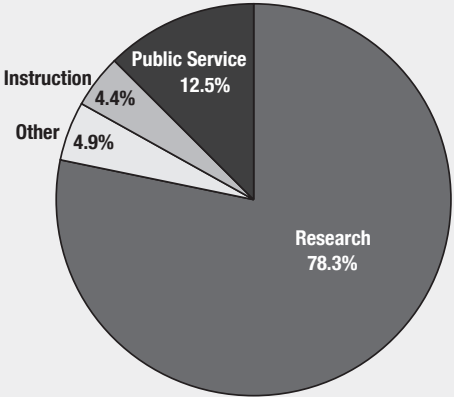
Awards by Purpose

Award Dollars

	<u>1996-97</u>	<u>2001-02</u>	<u>2006-07</u>
Research	\$47,284	\$90,752	\$154,719
Instruction	3,316	9,334	8,677
Public Service	18,337	24,417	24,632
Institutional Support	50	225	145
Scholarship/Fellowship	2,823	3,860	5,992
Student Services	848	2,081	2,250
Academic Support	0	0	1,294
Operations/Maintenance of Plant	564	0	0
Total	\$73,222	\$130,669	\$197,708

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.

2006-07 Awards



ASU Sponsored Projects

Awards by College

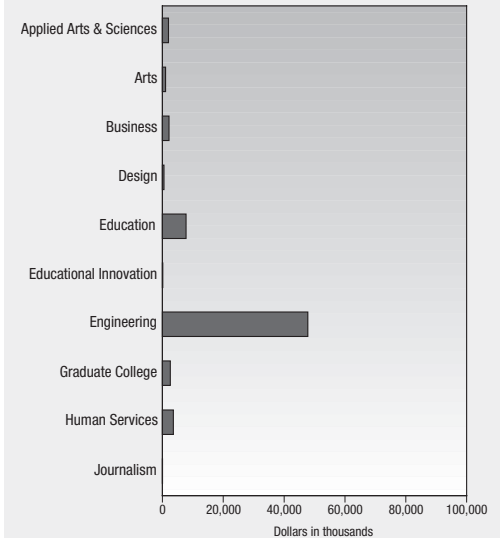
Award Dollars

	<u>1996–97</u>	<u>2001–02</u>	<u>2006–07</u>
Applied Arts & Sciences	N/A	\$54	\$1,997
Arts	\$56	326	1,034
Business	1,128	1,127	2,172
Design	562	1,186	512
Education	3,403	9,226	7,786
Educational Innovation	N/A	205	145
Engineering	9,482	35,463	47,782
Global Management	83	78	0
Graduate College	285	361	2,586
Human Services	201	1,020	3,587
Journalism & Mass Comm	N/A	N/A	40

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.

Data continue on next page.

2006–07 Awards



Graph continues on next page.

ASU Sponsored Projects

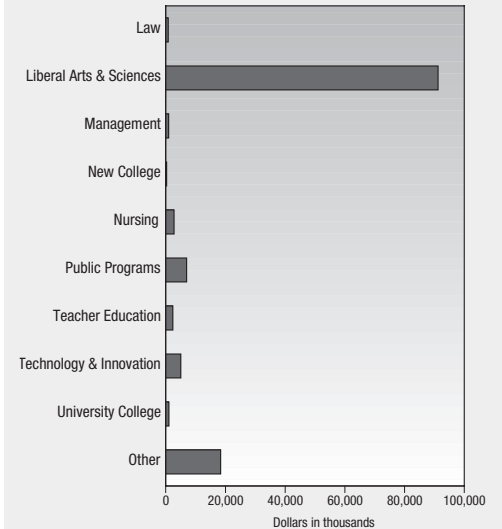
Awards by College (cont.)

Award Dollars

	<u>1996–97</u>	<u>2001–02</u>	<u>2006–07</u>
Law	263	504	856
Liberal Arts & Sciences	35,071	57,648	91,218
Management & Agribusiness	545	852	972
New College	358	13	296
Nursing & Healthcare Innovation	729	3,246	2,792
Public Programs	3,695	3,566	6,987
Teacher Education	751	1,092	2,401
Technology & Innovation	5,515	1,914	5,055
University College	N/A	N/A	1,074
Other	11,098	12,787	18,415
Total University	\$73,222	\$130,669	\$197,708

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.

2006–07 Awards



ASU Sponsored Projects

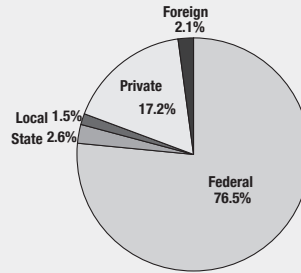
Awards by Sponsor

2006–07 Federal Sponsors

Dept of Agriculture	\$1,359
Dept of Defense	23,824
Dept of Education	14,870
Dept of Energy	4,080
Dept of Health & Human Services	42,010
Dept of the Interior	1,522
Dept of Transportation	514
Environmental Protection Agency	1,131
Nat'l Aeronautics & Space Admin	16,425
Nat'l Endowment for the Arts	45
Nat'l Endowment for the Humanities	227
Nat'l Science Foundation	42,211
Other	3,126
Total	\$151,343

Dollars in thousands.

2006–07 Distribution by Sponsor



2006–07 Federal Awards

Research	\$124,762
Public Service	12,964
Instruction	7,810
Scholarship/Fellowship	3,167
Student Services	2,240
Academic Support	400
Total	\$151,343

Dollars in thousands.

Award Dollars

	<u>1996–97</u>	<u>2001–02</u>	<u>2006–07</u>
Federal	\$55,246	\$105,247	\$151,343
State	3,821	6,150	5,158
Local	1,166	1,460	3,021
Private	12,762	17,319	33,976
Foreign	227	493	4,210
Total	\$73,222	\$130,669	\$197,708

Dollars in thousands. Columns may not total, due to rounding.
Excludes sponsored plant funds.