

# ASU Resources

## General Fund Appropriations for Higher Education

### General Fund Appropriations by Institution

	<u>1998–99</u>	<u>2003–04</u>	<u>2008–09<sup>1</sup></u>
ABOR	\$14,882	\$7,562	\$20,342
<b>ASU Total University</b>	<b>\$301,755</b>	<b>\$311,837</b>	<b>\$480,198</b>
ASU Tempe	254,211	263,294	396,429
ASU West	37,261	36,117	53,280
ASU Polytechnic	10,283	12,426	30,489
NAU	101,604	110,980	161,561
UA Main	256,662	263,688	340,317
UA Health Sciences Center	54,012	52,858	77,997
Community Colleges	121,490	128,309	147,680
Other <sup>2</sup>	1,972	1,391	5,498
<b>Total Higher Education</b>	<b>\$852,377</b>	<b>\$876,624</b>	<b>\$1,233,592</b>

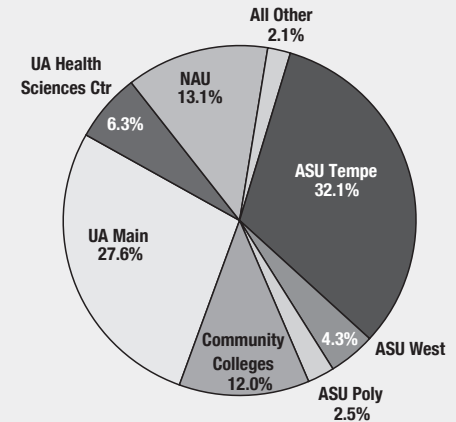
Dollars in thousands.

<sup>1</sup>2008–09 General Fund Appropriations figures do not reflect mid-year budget cuts.

<sup>2</sup>Includes Board of Medical Student Loans and Commission for Postsecondary Education.

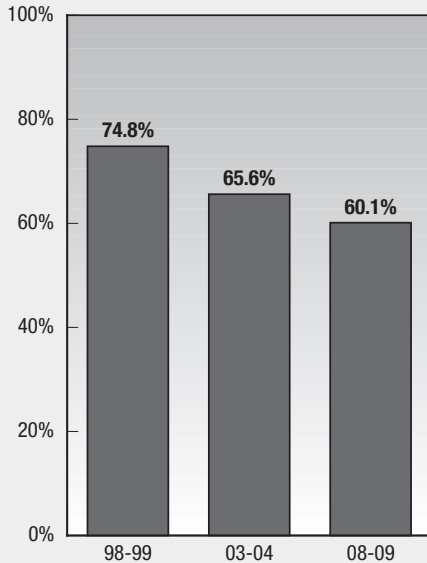
For more details, see the FY 1999 and FY 2004 *Arizona Appropriations Report* and the FY 2010 General Fund Summary.

### 2008–09 Higher Education General Fund Appropriations



## State Operating Budget

### State Investment as a Percentage of State Operating Budget



### State Investment

	<b>1998–99</b>	<b>2003–04</b>	<b>2008–09<sup>1</sup></b>
State Investment (General Fund Appropriation)	\$305,349	\$312,594	\$480,198
State Collections / Other Receipts	\$102,869	\$163,648	\$318,999
State Operating Budget (Expenditure Authority)	\$408,218	\$476,242	\$799,197
	Dollars in thousands		
Fall 21st Day Student FTE	42,946	52,265	64,011
State Investment per FTE Student	\$7,110	\$5,981	\$7,502
Indexed <sup>2</sup> State Investment per FTE Student	\$7,110	\$5,293	\$5,727

Expenditure Authority includes State General Fund Appropriations, State Collections, and other miscellaneous revenue.

<sup>1</sup>2008–09 General Fund Appropriations figures do not reflect mid-year budget cuts.

<sup>2</sup>Indexed to 1998–99 dollars using CPI estimates.

FTE is the equivalent of full-time students based on the following calculation for Arizona universities:

1 FTE = 15 lower-division SCH, 12 upper-division SCH, or 10 graduate SCH.

# ASU Resources

## 2007–08 Current Operating Fund Expenditures

### State Expenditures

	<u>Dollars</u>	<u>Percent</u>
Instruction <sup>1</sup>	\$392,023	55.8%
Research	18,333	2.6%
Public Service	3,395	0.5%
Academic Support	117,991	16.8%
Student Services	38,612	5.5%
Institutional Support <sup>1</sup>	63,964	9.1%
Operations	68,651	9.8%
<b>Total</b>	<b>\$702,969</b>	<b>100.0%</b>

Dollars in thousands.

<sup>1</sup>Excludes value of student waivers.

### Other Fund Expenditures and Mandatory Transfers

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Instruction <sup>1</sup>	\$57,777		\$14,732	\$72,509	9.2%
Research	12,412		146,578	158,990	20.1%
Public Service	5,793		34,143	39,936	5.1%
Academic Support	63,878		8,414	72,292	9.1%
Student Services	12,261		2,991	15,252	1.9%
Institutional Support <sup>1</sup>	66,734		3,542	70,276	8.9%
Operations	20,509		111	20,620	2.6%
Scholarships <sup>1</sup>	105,356		52,002	157,358	19.9%
Auxiliary		\$127,853		127,853	16.2%
Mandatory Transfers	48,816	9,022	(2,720)	55,118	7.0%
<b>Total</b>	<b>\$393,536</b>	<b>\$136,875</b>	<b>\$259,793</b>	<b>\$790,204</b>	<b>100.0%</b>

Dollars in thousands.

<sup>1</sup>Excludes value of student waivers.

For more details, see the annual ASU Separate Financial Statements.

# ASU Resources

## Current Operating Fund Revenues

### Total Dollars

	<u>State Investment</u>	<u>Tuition and Fees</u> <sup>1</sup>	<u>TRIF</u> <sup>2</sup>	<u>Govt. Grants &amp; Contracts</u>	<u>Priv. Gifts &amp; Contracts</u>	<u>Other</u> <sup>3</sup>	<u>Total Revenues</u>
2002–03	311,836	216,850	23,593	136,001	52,095	116,983	857,358
2003–04	312,594	272,888	17,934	144,014	51,146	146,984	945,560
2004–05	335,874	360,946	22,771	164,536	58,487	148,578	1,091,192
2005–06	368,568	411,307	23,329	183,171	67,414	170,174	1,223,963
2006–07	423,120	466,672	31,184	180,993	74,525	218,354	1,394,848
2007–08	482,878	512,132	27,398	190,422	91,472	224,388	1,528,690

Dollars in thousands.

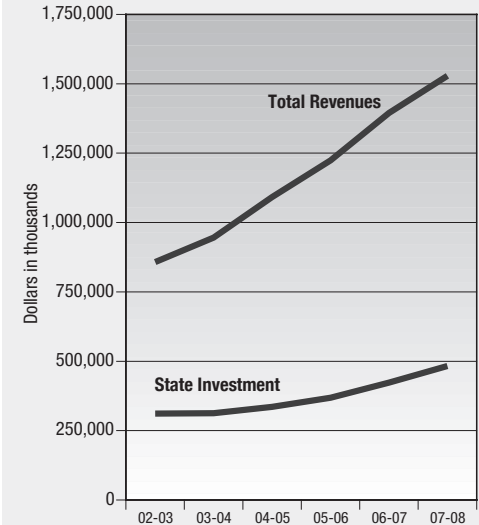
<sup>1</sup>Excludes value of student waivers.

<sup>2</sup>Technology & Research Initiatives Funding (Prop. 301)

<sup>3</sup>Includes Sales and Services, Investment and Endowment Income, and other sources.

For more details, see the annual ASU Separate Financial Statements.

### Five-year Trend



# ASU Resources

## 2007–08 Current Operating Fund Revenues

### State Appropriation

	<u>Dollars</u>	<u>Percent</u>
State Investment	\$482,878	65.0%
Tuition & Fees <sup>1</sup>	252,321	34.0%
TRIF <sup>2</sup>	3,600	0.5%
Other	3,674	0.5%
<b>Total</b>	<b>\$742,473</b>	<b>100.0%</b>

Dollars in thousands.

<sup>1</sup>Excludes value of student waivers.

<sup>2</sup>Technology & Research Initiatives Funding (Prop. 301) appropriated for ASU Polytechnic and West debt service.

### Other Fund Revenues

	<u>Designated</u>	<u>Auxiliary</u>	<u>Restricted</u>	<u>Total</u>	<u>Percent</u>
Tuition & Fees <sup>1</sup>	\$257,043	\$2,768		\$259,811	33.0%
Government Contracts	37,473		\$152,949	190,422	24.2%
Private Gifts & Contracts	12,130	8,371	70,971	91,472	11.6%
TRIF <sup>2</sup>	50		23,748	23,798	3.0%
Investment Income	11,281	102	5,893	17,276	2.2%
Auxiliary Enterprises		141,257		141,257	18.0%
Other	51,222	2,007	8,952	62,181	7.9%
<b>Total</b>	<b>\$369,199</b>	<b>\$154,505</b>	<b>\$262,513</b>	<b>\$786,217</b>	<b>100.0%</b>

Dollars in thousands.

<sup>1</sup>Excludes value of student waivers.

<sup>2</sup>Technology & Research Initiatives Funding (Prop. 301)

For more details, see the annual ASU Separate Financial Statements.

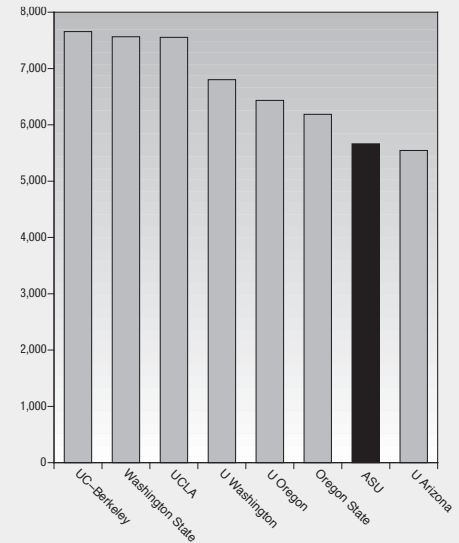
## Tuition and Fees

### Full-Time Tuition and Fees

	Undergraduates		Graduate Students	
	<u>Resident</u>	<u>Nonresident</u>	<u>Resident</u>	<u>Nonresident</u>
1998–99	2,159	9,111	2,159	9,111
1999–00	2,261	9,413	2,261	9,413
2000–01	2,346	9,802	2,346	9,802
2001–02	2,488	10,354	2,488	10,354
2002–03	2,585	11,105	2,585	11,105
2003–04	3,595	12,115	3,795	12,315
2004–05	4,064	12,919	5,129	13,649
2005–06	4,406	15,095	5,561	15,825
2006–07	4,688	15,847	6,027	16,613
2007–08	4,971	17,003	6,377	18,070
2008–09	5,661	17,949	7,041	19,606

Reflects tuition and fees for new undergraduate and graduate students. Tuition and fee schedules differ for continuing undergraduate students in some years. Please visit <http://students.asu.edu/tuitionandfees> for further information.

### 2009 Public Pac-10 Tuition and Fees



# ASU Resources

## Average Costs for Full-time Undergraduate Students

### Estimated Budgets

	<u>2003–04</u>	<u>2004–05</u>	<u>2005–06</u>	<u>2006–07</u>	<u>2007–08</u>	<u>2008–09</u>
Room/Board	\$6,453	\$6,574	\$6,768	\$6,900	\$7,320	\$8,790
Personal	3,657	3,726	3,726	3,822	3,830	3,190
Books/Supplies	823	838	948	950	950	1,130
Tuition/Fees						
Resident	3,595	4,064	4,406	4,688	4,971	5,661
Nonresident	12,115	12,919	15,095	15,847	17,003	17,949
<b>Resident Total</b>	<b>\$14,528</b>	<b>\$15,202</b>	<b>\$15,848</b>	<b>\$16,360</b>	<b>\$17,071</b>	<b>\$18,771</b>
<b>Nonresident Total</b>	<b>\$23,048</b>	<b>\$24,057</b>	<b>\$26,537</b>	<b>\$27,519</b>	<b>\$29,103</b>	<b>\$31,059</b>

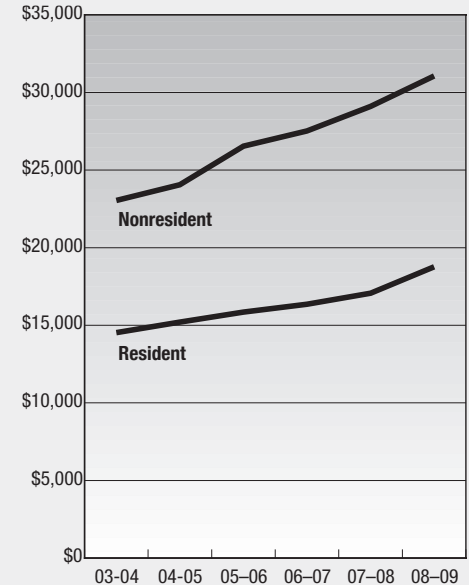
Typical student costs are based on students living in university-owned housing. Tuition and fees reflect charges for new undergraduate students. Please visit <http://students.asu.edu/tuitionandfees> for further information.

### Indexed Budgets<sup>1</sup>

Resident Total	\$14,528	\$14,759	\$14,811	\$14,873	\$14,975	\$14,941
Nonresident Total	\$23,048	\$23,356	\$24,801	\$25,017	\$25,529	\$25,534

<sup>1</sup>Indexed to 2003–04 dollars using CPI estimates

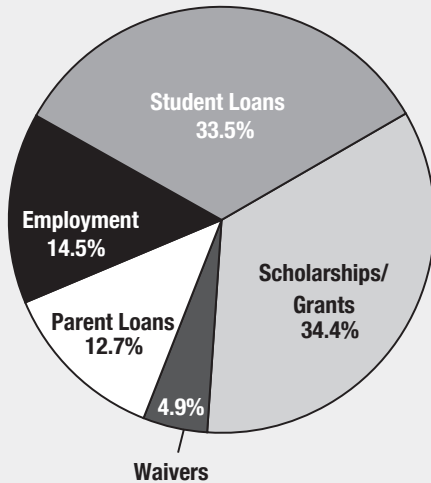
### Five-year Trend



# ASU Resources

## 2007–08 Student Financial Aid

### All Aid Distribution



### Financial Aid by Source

	Undergraduate Students			Graduate/First Professional	Total University
	Showing Need	Not Showing Need	Total		
Scholarships/Grants	\$100,964,955	\$54,474,874	\$155,439,829	\$23,277,884	\$178,717,713
Self-help					
Federal Student Loans	\$87,449,584	\$17,066,914	\$104,516,498	\$69,447,090	\$173,963,588
State Student Loans	\$81,431	\$38,300	\$119,731	\$0	\$119,731
Employment	\$12,306,639	\$10,008,439	\$22,315,078	\$53,001,108	\$75,316,186
Parent/Private Loans	\$36,044,948	\$22,203,945	\$58,248,893	\$7,809,118	\$66,058,011
Waivers	\$4,985,447	\$12,097,317	\$17,082,764	\$8,379,503	\$25,462,267
<b>Total Financial Aid Awarded</b>	<b>\$241,833,004</b>	<b>\$115,889,789</b>	<b>\$357,722,793</b>	<b>\$161,914,703</b>	<b>\$519,637,496</b>

For more details, see the Arizona Board of Regents' Annual Financial Aid Report.



# ASU Resources

## Library Expenditures

### Expenditures

	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Monographs	\$2,491	\$2,567	\$2,151	\$3,037	\$2,859	\$2,457
Serials	\$6,286	\$6,833	\$6,758	\$7,437	\$8,050	\$8,262
Other	\$557	\$1,410	\$967	\$1,000	\$1,284	\$715
<b>Total Materials</b>	<b>\$9,335</b>	<b>\$10,811</b>	<b>\$9,876</b>	<b>\$11,474</b>	<b>\$12,192</b>	<b>\$11,434</b>

Dollars in thousands.

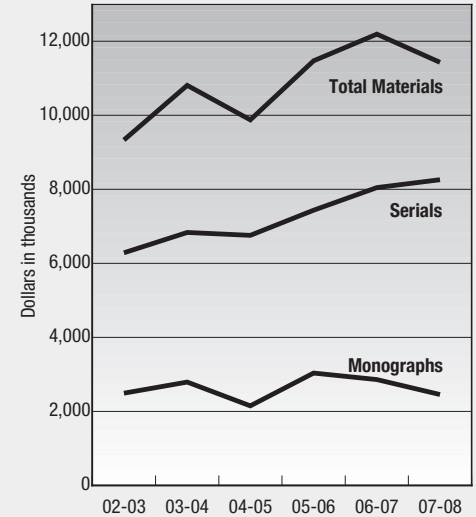
### ARL Expenditures Rankings

	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Monographs	#34	#34	#44	#27	#31	N/A
Serials	#34	#32	#39	#34	#33	N/A
<b>Total Materials</b>	<b>#38</b>	<b>#31</b>	<b>#44</b>	<b>#37</b>	<b>#33</b>	<b>N/A</b>

For more details, see the annual ARL Statistics.

ARL = Association of Research Libraries

### Five-year Trend



# ASU Resources

## Library Circulations

### Circulations

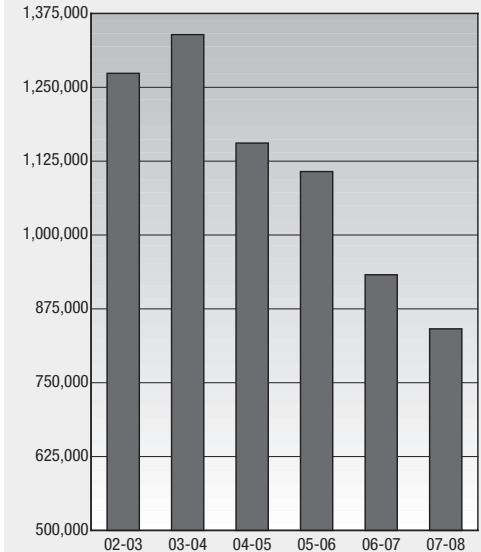
	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Circulations	1,273,560	1,339,198	1,155,724	1,107,316	932,684	841,179
Interlibrary loan–borrowing	30,296	30,771	27,541	29,649	37,784	38,561
Interlibrary loan–lending	46,193	53,179	59,341	56,361	49,386	50,966
Online Catalog Use	7,645,384	6,848,907	6,932,867	7,152,800	7,726,944	8,642,132

### ARL Circulations Rankings

	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Circulations	#10	#10	#10	#10	#19	N/A
Interlibrary loan–borrowing	#33	#39	#54	#47	#24	N/A
Interlibrary loan–lending	#31	#19	#15	#28	#29	N/A

For more details, see the annual ARL Statistics.  
 ARL = Association of Research Libraries

### Circulations – Five-year Trend



# ASU Resources

## Library Collections

### Collections

	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Volumes held	3,857,120	4,058,675	4,156,732	4,254,719	4,342,425	4,422,239
Volumes added, net	69,885	75,291	65,174	76,475	83,215	70,744
Current serials purchased	21,784	23,095	21,282	19,726	165,586	66,037

### ARL Collections Rankings

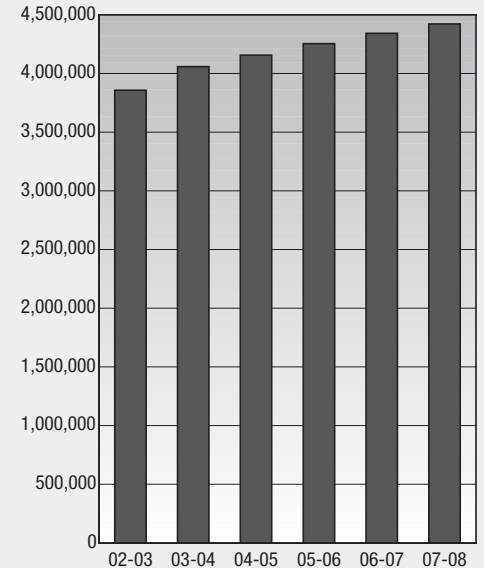
	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Volumes held	#36	#34	#34	#33	#34	N/A
Volumes added, net	#43	#37	#40	#33	#34	N/A
Current serials purchased	#40	#47	#56	#67	#10	N/A

<sup>1</sup>Spike in serials purchased due to change in ARL calculation methodology.

For more details, see the annual ARL Statistics.

ARL = Association of Research Libraries

### Volumes Held – Five-year Trend



# ASU Sponsored Projects

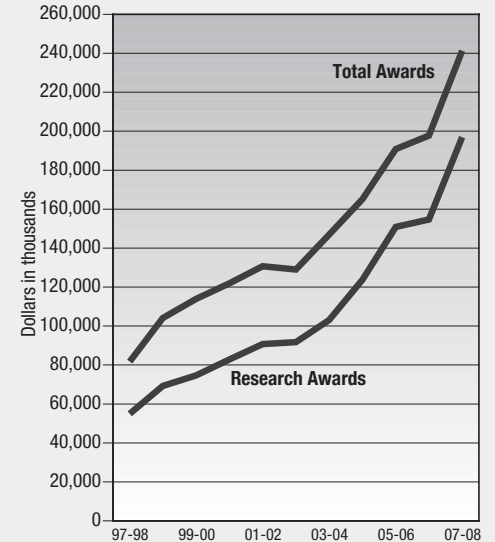
## Sponsored Projects Awards

### Award Dollars

	<u>Total Awards</u>	<u>Research Awards</u>	<u>Research % of Total</u>
1997–98	\$81,737	\$54,940	67.2%
1998–99	\$104,091	\$69,276	66.6%
1999–00	\$113,962	\$74,603	65.5%
2000–01	\$121,896	\$82,858	68.0%
2001–02	\$130,669	\$90,752	69.5%
2002–03	\$128,997	\$91,715	71.1%
2003–04	\$146,988	\$103,153	70.2%
2004–05	\$165,163	\$123,829	75.0%
2005–06	\$190,885	\$150,842	79.0%
2006–07	\$197,708	\$154,719	78.3%
2007–08	\$241,330	\$196,957	81.6%

Dollars in thousands. Excludes sponsored plant funds.

### Ten-year Trend



# ASU Sponsored Projects

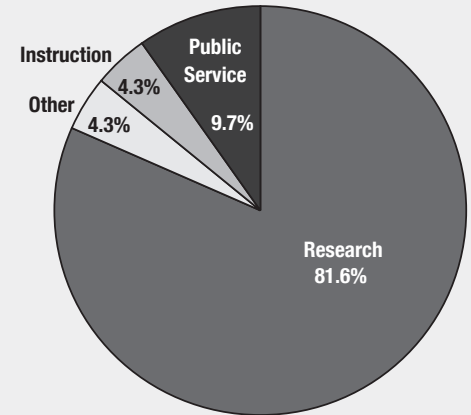
## Awards by Purpose

### Award Dollars

	<u>1997-98</u>	<u>2002-03</u>	<u>2007-08</u>
Research	\$54,940	\$91,715	\$196,957
Instruction	1,726	9,114	10,403
Public Service	20,428	22,311	23,529
Institutional Support	2,061	143	176
Scholarship/Fellowship	3,481	2,875	6,373
Student Services	1,002	2,628	1,785
Academic Support	0	127	1,578
Operations/Maintenance of Plant	0	84	529
<b>Total</b>	<b>\$83,637</b>	<b>\$128,997</b>	<b>\$241,330</b>

Dollars in thousands. Columns may not total, due to rounding.  
Excludes sponsored plant funds.

### 2007-08 Awards



# ASU Sponsored Projects

## Awards by College

### Award Dollars

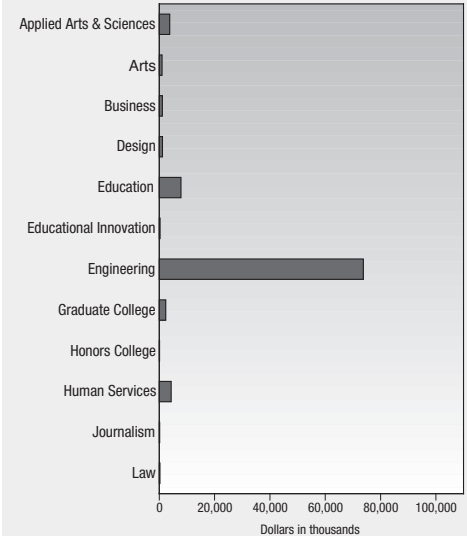
	<u>1997-98</u>	<u>2002-03</u>	<u>2007-08</u>
Applied Arts & Sciences <sup>1</sup>	\$190	\$2,077	\$3,763
Arts	244	128	1,004
Business	1,329	1,269	1,090
Design	435	339	1,133
Education	1,876	9,606	7,843
Educational Innovation <sup>1</sup>	N/A	N/A	265
Engineering	20,919	32,442	73,770
Global Management	126	29	N/A
Graduate College	904	451	2,329
Honors College, Barrett	N/A	N/A	88
Human Services	757	1,020	4,284
Journalism & Mass Comm <sup>2</sup>	N/A	N/A	160
Law	439	621	231

Dollars in thousands. Columns may not total, due to rounding.

Excludes sponsored plant funds.

Data continue on next page.

### 2007-08 Awards



Graph continues on next page.

# ASU Sponsored Projects

## Awards by College (cont.)

### Award Dollars

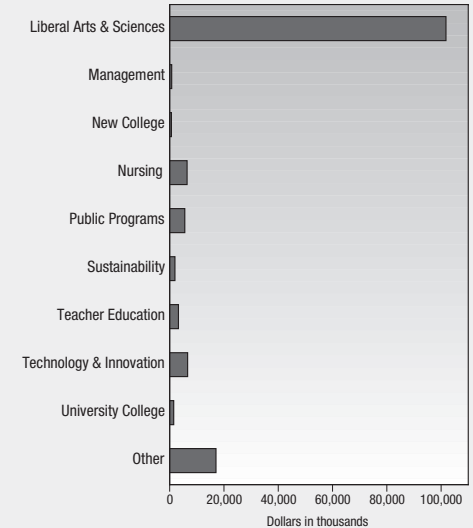
	<u>1997–98</u>	<u>2002–03</u>	<u>2007–08</u>
Liberal Arts & Sciences	33,454	58,467	101,770
Management & Agribusiness	472	1,010	748
New College	764	320	617
Nursing & Healthcare Innovation	1,005	1,879	6,412
Public Programs <sup>2</sup>	3,520	3,236	5,556
Sustainability	N/A	N/A	1,931
Teacher Education	514	909	3,202
Technology & Innovation	936	2,457	6,608
University College	37	73	1,498
Other	15,712	12,663	17,029
<b>Total University</b>	<b>\$83,637</b>	<b>\$128,997</b>	<b>\$241,330</b>

Dollars in thousands. Columns may not total, due to rounding.  
Excludes sponsored plant funds.

<sup>1</sup>In Fall 2007, Educational Innovation was moved from Applied Arts & Sciences and reorganized as an independent school.

<sup>2</sup>In Fall 2005, Journalism was moved from the College of Public Programs and reorganized as an independent school.

### 2007–08 Awards



# ASU Sponsored Projects

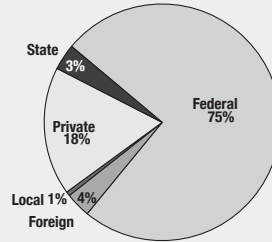
## Awards by Sponsor

### 2007–08 Federal Sponsors

Dept of Health & Human Services	\$60,644
Nat'l Science Foundation	47,376
Dept of Defense	27,845
Nat'l Aeronautics & Space Admin	16,862
Dept of Education	12,981
Dept of Energy	6,231
Dept of Agriculture	1,706
Dept of the Interior	1,122
Dept of Transportation	663
Environmental Protection Agency	402
Nat'l Endowment for the Humanities	365
Nat'l Endowment for the Arts	148
Other	4,198
<b>Total</b>	<b>\$180,544</b>

Dollars in thousands.

### 2007–08 Distribution by Sponsor



### 2007–08 Federal Awards

Research	\$152,147
Public Service	12,972
Instruction	7,405
Scholarship/Fellowship	4,197
Student Services	1,775
Academic Support	1,488
Operation & Maintenance of Plant	529
Institutional Support	30
<b>Total</b>	<b>\$180,544</b>

Dollars in thousands.

### Award Dollars

	<u>1997–98</u>	<u>2002–03</u>	<u>2007–08</u>
Federal	\$61,836	\$105,057	\$180,544
State	8,037	5,010	8,307
Local	499	1,372	1,420
Private	13,114	17,143	42,440
Foreign	150	415	8,619
<b>Total</b>	<b>\$83,637</b>	<b>\$128,997</b>	<b>\$241,330</b>

Dollars in thousands. Columns may not total, due to rounding.  
Excludes sponsored plant funds.